

# Stock Valuation

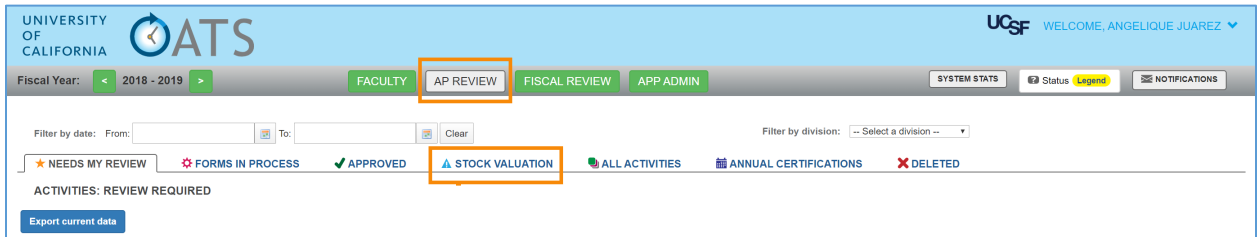
## Overview

When a faculty member reports stock earnings, the payment details are sent to the reviewer’s Stock Valuation queue for processing. Only reviewers with the “Stock Valuation” role can view and process stock valuations.

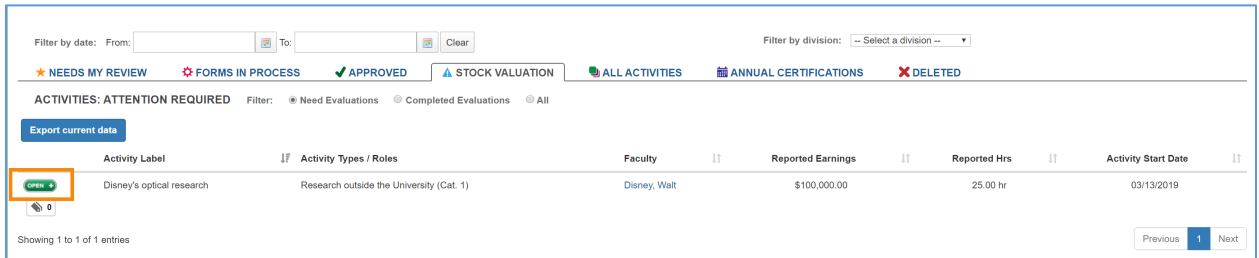
## Process

To complete stock evaluation,

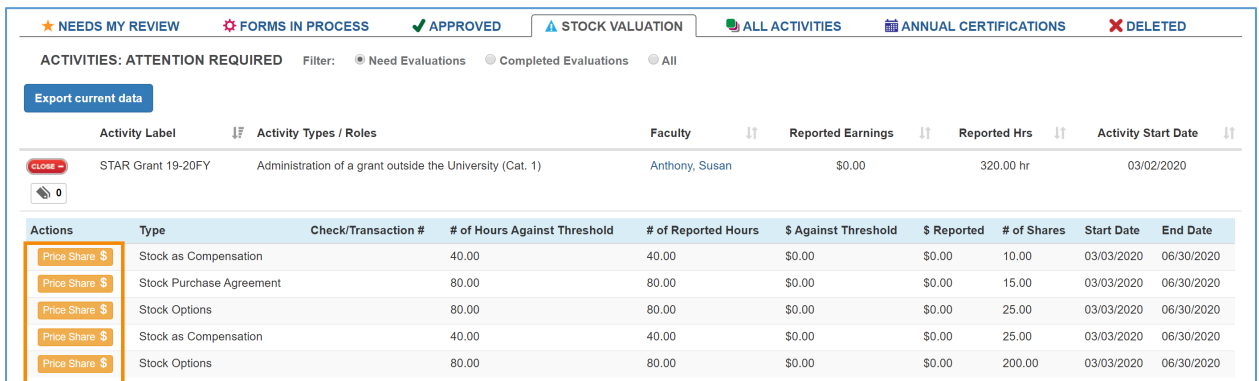
1. Log into your UC OATS reviewer interface.
2. Select the “AP Review” button and the “Stock Valuation” tab.



3. Select the “Needs Evaluation” filter to view all stock valuations awaiting your evaluation. Locate the faculty member’s activity and click the “open” button to expand the payment details.



4. Follow your campus procedures for evaluating stock, then click the “Price Share \$” button to enter your findings.



### Stock Types

There are four stock payment options (stock, stock as compensation, stock purchase agreement, stock options) The information you enter will vary based on the type of stock and if the stock was reported within or after 30 days of stock receipt or offer.

#### a. Stock

Price Earnings: #205613 for Activity: STAR Grant 19-20FY

Remuneration Type: Stock

# of Shares: 5.00

Price per Share: \*

Amount: \$ 0.00

#### b. Stock as Compensation

(Stock is disclosed **within** 30 days of stock receipt)

Price Earnings: #205614 for Activity: STAR Grant 19-20FY

Date of Stock Disclosure: 03/03/2020

Date of Stock Receipt: 03/03/2020

Remuneration Type: Stock as Compensation

# of Shares: 10.00

Price per Share at Time of Receipt: \*

\$ Against Threshold: 0.00

Notes:

(Stock is disclosed **after** 30 days of stock receipt)

Price Earnings: #205622 for Activity: STAR Grant 19-20FY

Date of Stock Disclosure: 03/03/2020

Date of Stock Receipt: 01/01/2020

Remuneration Type: Stock as Compensation

# of Shares: 25.00

Price per Share at Time of Receipt: \*

Fair Market Value at Time of Purchase: \*

\$ Against Threshold: 0.00

Notes:

**c. Stock Purchase Agreement**

Price Earnings: #205615 for Activity: STAR Grant 19-20FY

Date of Stock Disclosure: 03/03/2020  
 Date of Stock Purchase: 03/03/2020  
 Remuneration Type: Stock Purchase Agreement  
 # of Shares: 15.00

Purchase Price per Share: \*

Fair Market Value at Time of Purchase: \*

\$ Against Threshold: 0.00

Notes:

**d. Stock Options**

(Stock is disclosed **within** 30 days of stock offer)

Price Earnings: #205616 for Activity: STAR Grant 19-20FY

Date of Stock Options Disclosure: 03/03/2020  
 Date of Stock Options Offer: 03/03/2020  
 Remuneration Type: Stock Options  
 # of Shares: 25.00

Exercise Price per Share: \*

Fair Market Value at Time of Offer: \*

\$ Against Threshold: 0.00

Notes:

(Stock is disclosed **after** 30 days of stock offer)

Price Earnings: #205624 for Activity: STAR Grant 19-20FY

Date of Stock Options Disclosure: 03/03/2020  
 Date of Stock Options Offer: 01/01/2020  
 Remuneration Type: Stock Options  
 # of Shares: 200.00

Exercise Price per Share: \*

Fair Market Value at Time of Disclosure: \*

\$ Against Threshold: 0.00

Notes:

- Once the stock valuation is complete, click the "Update" button to save the changes.

Price Earnings: #205613 for Activity: STAR Grant 19-20FY

Remuneration Type: Stock

# of Shares: 5.00

Price per Share: \*

Amount: \$

- The evaluated stock will then be moved to the "Completed Evaluation" list.

★ NEEDS MY REVIEW    ✖ FORMS IN PROCESS    ✔ APPROVED    ▲ STOCK VALUATION    📁 ALL ACTIVITIES    📅 ANNUAL CERTIFICATIONS    ✖ DELETED

ACTIVITIES: ATTENTION REQUIRED    Filter:  Need Evaluations     Completed Evaluations     All

Activity Label	Activity Types / Roles	Faculty	Reported Earnings	Reported Hrs	Activity Start Date
STAR Grant 19-20FY	Administration of a grant outside the University (Cat. 1)	Anthony, Susan	\$100.00	8.00 hr	03/02/2020

Actions	Type	Check/Transaction #	# of Hours Against Threshold	# of Reported Hours	\$ Against Threshold	\$ Reported	# of Shares	Start Date	End Date
<input type="button" value="Price Share \$"/>	Stock		8.00	8.00	\$100.00	\$100.00	5.00	03/03/2020	06/30/2020

The faculty member's earnings threshold bar will adjust to accommodate the stock evaluation findings.

UNIVERSITY OF CALIFORNIA OATS WELCOME, SUSAN ANTHONY

**SUMMARY**  
Earnings Reported: \$100  
Owed To Plan: \$0  
Paid To Plan: \$0

**TIME**  
328 hr / 0 hr  
Time Threshold: ↑\$84.00 hr

**EARNINGS**  
\$100 / \$0  
Earnings Threshold: ↑\$40,000.00

Fiscal Year: 2019 - 2020    ACTIONS:     Status Legend

Activity Label	Org. Type	Org. Name	Activity Types / Roles	Current Hrs / Earnings	Start Date	End Date	Student Involvement	Actions	Form
STAR Grant 19-20FY	Non Profit	STAR	Administration of a grant outside the University (Cat. 1)	328.00 hr / 100.00	03/02/2020	06/30/2020	Yes	<input type="button" value="Edit Activity"/> <input type="button" value="Report Earnings / Effort"/>	<input type="button" value="Cat 1 Approval"/> <input type="button" value="Dean's Analyst"/> <input type="button" value="Student Involvement Approval"/> <input type="button" value="Dean's Analyst"/>

Actions	Type	# of Hours Against Threshold	# of Reported Hours	\$ Against Threshold	\$ Reported	# of Shares	Start Date	End Date
<input type="button" value="Edit Earning/Effort"/> <input type="button" value="Delete"/>	Stock as Compensation	40.00	40.00	\$0.00	\$0.00	10.00	03/03/2020	06/30/2020
<input type="button" value="Edit Earning/Effort"/> <input type="button" value="Delete"/>	Stock Purchase Agreement	80.00	80.00	\$0.00	\$0.00	15.00	03/03/2020	06/30/2020
<input type="button" value="Edit Earning/Effort"/> <input type="button" value="Delete"/>	Stock Options	80.00	80.00	\$0.00	\$0.00	25.00	03/03/2020	06/30/2020
<input type="button" value="Edit Earning/Effort"/> <input type="button" value="Delete"/>	Stock as Compensation	40.00	40.00	\$0.00	\$0.00	25.00	03/03/2020	06/30/2020
<input type="button" value="Edit Earning/Effort"/> <input type="button" value="Delete"/>	Stock Options	80.00	80.00	\$0.00	\$0.00	200.00	03/03/2020	06/30/2020
<input type="button" value="Edit Earning/Effort"/> <input type="button" value="Delete"/>	Stock	8.00	8.00	\$100.00	\$100.00	5.00	03/03/2020	06/30/2020